

The Digitization and Decentralization of Clinical Trials

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Abstract

Now, more than ever, digital technology has made its way into the daily lives of billions across the globe, and the widespread use of this technology has also allowed a digital window into consumers' and patients' daily lives, respectively. In a similar way, the practice of medicine has digitally evolved with the application of electronic health records and development of wearable/portable consumer-based medical devices (eg, Apple Watch ECG and Kardia Mobile by AliveCor). Alongside the increased use of digital technology in clinical care (eg, telehealth and wearable arrhythmia detection), clinical investigators have harnessed this powerful stockpile of data to gain insight into what happens to patients beyond the clinic walls. In this thematic review, we show the impact of digital advancements on the clinical trial process from recruitment and enrollment to interventions and data collection. We also show the pragmatism of this decentralized process and how it will mitigate the limitations of conventional randomized controlled trials. Finally, while pushing the boundaries of tech, we also describe a few limitations of this rapidly growing field to understand better what gaps need to be bridged in the future.

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Now, more than ever, digital technology has made its way into the daily lives of billions across the globe.^{1,2} In the United States alone, 85% of citizens own a smartphone, and one in five Americans own some type of wearable digital tech, with expected consumer increases in coming years.³⁻⁵ The practice of medicine has also experienced its own technologic revolution with the telemedicine boom during the coronavirus disease 2019 (COVID-19) pandemic, enabling medical care within the privacy of patients' own homes.⁶ The widespread use of this technology has also allowed a digital window into consumers' and patients' daily lives, respectively. Whether by active recording of a watch-based electrocardiogram (ECG) or passive monitoring of adequate sleep, the accumulation of daily, real-world data continues to expand.⁷⁻⁹ Alongside the increased use of digital technology in clinical care (eg, telehealth and wearable arrhythmia detection), clinical investigators have harnessed this powerful stockpile of data to gain insight into what happens to patients beyond the clinic walls.¹⁰

Whereas traditional randomized controlled trials (RCTs) have standard protocols, measures, and safety, these conventional methodologies remain costly, time-intensive, and are frequently geographically inflexible. The unprecedented COVID-19 pandemic brought the limitations of this traditional RCT approach into full relief when ongoing clinical trials were delayed (eg, experimental cancer therapies), and the urgent COVID-19–focused investigations were hampered by the usual checks and balances of RCT execution.¹¹ Digital tools in the clinic, such as the electronic health record (EHR), and owned by patients (eg, iPhone, Apple Watch, and similar technology) have allowed investigators to both assess the needs and well-being of their patients while evaluating the various impact of interventions on their health at a personal level.^{10,12} As a result, researchers have implemented these digital tools in multifaceted, pragmatic approaches, allowing robust clinical study unbound by the geographic, clinic-centric limitations of conventional RCTs at a fraction of the cost (Figure 1).^{13,14}



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The digitization and decentralization of clinical trials have enabled investigators to enhance current RCT methodology and conduct cutting-edge pragmatic studies with geographically diverse, real-world data collection.^{14,15} In this thematic review, we show the impact of digital advancements on the clinical trial process from recruitment and enrollment to interventions and data collection. We also show the pragmatism of this decentralized process and how it will mitigate the limitations of conventional RCTs. Finally, while pushing the boundaries of technology, we describe a few limitations of this rapidly growing field to understand better what gaps need to be bridged in the future.

DIGITAL TOOLS ENABLING CLINICAL TRIALS

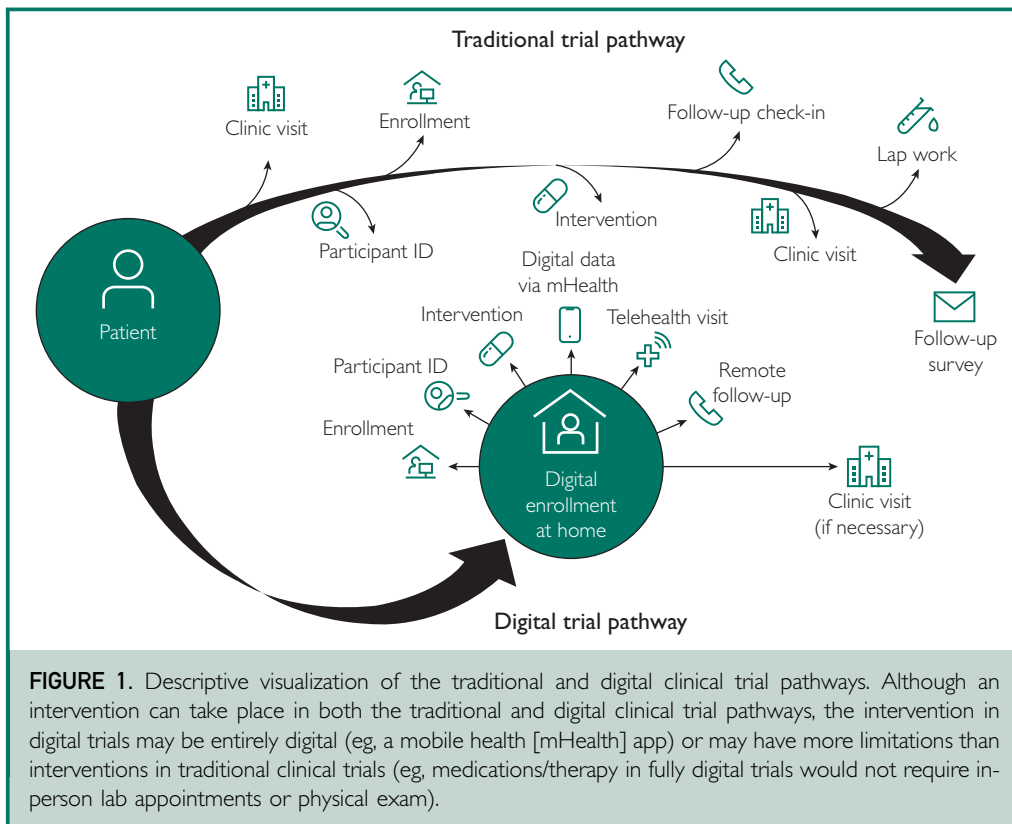
Electronic Health Record

The ubiquitous application of the EHR has revolutionized and streamlined routine health care. In 2017, 94% of hospitals in the United States used an EHR to provide clinical care,¹⁶ and the convergence towards a unified EHR system continues to grow (eg, 45% of the entire US population has a medical record in an Epic EHR).¹⁷ Although the EHR was primarily intended as a tool for patient-focused care, clinical investigators have used its near-universal adoption in various aspects of studies.

Although EHR use in retrospective studies is now commonplace,¹⁸ this tool has recently found utility in nearly all facets of clinical trials.¹² In a few salient examples, the EHR was used as a tool for assessing patient eligibility and engagement (Table). The EHR took center stage in the landmark ADAPTABLE (Aspirin — Dosing a Patient-centric Trial Assessing Benefits and Long-term Effectiveness) and mSToPS (mHealth Screening to Prevent Strokes) trials as investigators used coded diagnostic information (International Classification of Diseases, Ninth Revision and Tenth Revision) hosted in local EHRs or insurance claims (ADAPTABLE via PCORnet) to identify eligible patients with specific inclusion and exclusion

criteria. Investigators digitally contacted eligible patients for each trial, enabling a no-contact, pragmatic enrollment.^{13,19,20} In a similar fashion, the ADAPTABLE and mSToPS investigators used the available EHR and insurance claims information for endpoint ascertainment. Whereas ADAPTABLE maintained a hybrid approach, including virtual follow-up visits, combinations of patient-reported outcomes with claims data, and nonstandardized requirement methods between participating centers, mSToPS did not use routine follow-up visits or patient-reported outcomes and relied on coded data.^{19–21} These unique and successful studies established a framework of how to use existing data and infrastructure in trial methodologies, setting a precedent for future digital and decentralized study. This approach also allows investigators to maximize the pragmatism regarding the follow-up. Based on the Pragmatic Explanatory Continuum Indicator Summary (PRECIS-2), the most commonly used tool to guide pragmatic trial design, the most pragmatic approach would be to have no more follow-up with participants than would be the case in usual care, and to obtain outcome data by other means.²²

Although these are only a few examples in a rapidly growing and evolving field of study, the EHR is not free of its limitations. Data validity, patient privacy, and variable EHR interoperability are a few barriers that could significantly impact EHR-based clinical trials at each level.¹² In an attempt to overcome the limitations of secondary data, our team developed and used digital phenotyping algorithms that leverage both the structured data (eg, age, sex, lab tests, diagnosis codes, and procedure codes) and unstructured data, such as clinical notes abstracted via natural language processing.^{24,29} In routine clinical practice, these EHR-based phenotyping algorithms can abstract patients' medical history to provide individualized recommendations at the point of care,³⁰ as well as capture patient subsequent outcomes from the EHR and feed the data into dashboards to track the quality of care. In clinical trials, the same set of tools



can be used to determine trial eligibility and capture trial endpoints. These tools will facilitate the pragmatic conduct of clinical trials in terms of embedding research into practice, rather than using an expensive parallel research system separate from routine clinical practice to enroll and follow patients. When the investigators collect feedback via patient reports or clinician chart reviews, these phenotyping algorithms can be further refined, thereby fostering a continuous cycle of learning and improvement.

Digitization of the Consent Process

In-person recruitment and consent are arduous parts of clinical trials. Digital technologies (eg, smart tablets and smartphones) and platforms (eg, EHR, World Wide Web, and web-based smartphone applications) have allowed for the digitization of the consent process mediating the burden of research efforts while facilitating a consent process both inside and out of the clinic walls. This seemingly simple step of going

paperless for the consent process is a digital leap enabling “site-less” trials to enroll patients from the comfort of their homes across the globe at dramatic rates.^{14,28}

Many teams have used web- or app-based study sites to host educational material and the consent process (Table).^{19,20,28} Studies such as the ADAPTABLE and PALM (Patient and Provider Assessment of Lipid Management) trials have also uploaded pre-recorded videos as part of the preconsent education material.^{19,26} Interoperability between digital platforms facilitates a uniform consent process whether the patient is approached in a clinic (eg, use of tablet-based consent) or at home (eg, study website with education) because digitized education material can be made available on these platforms simultaneously. This flexible approach also allows patients who encounter a digital barrier (eg, lack of Internet access/smartphone ownership) to participate by other means, such as in-person recruitment using a study-owned tablet for preconsent education and digital consent.^{13,19}

TABLE. Comparison of Hybrid and Digital Trial Elements and Pitfalls^a

Study	Study objective	Study type	Key digital trial elements	Pitfalls of digital study
ADAPTABLE ¹⁹	Outpatient ASA dosing for ASCVD prevention	Pragmatic, open-label, patient-centered, randomized clinical	Use of EHR to screen for eligibility Novel use of PCORnet both for eligibility and event ascertainment Digitized consent Maintained hybrid approach	Variability of recruitment between difference centers ± Accuracy of claims data ± Transferability of EHR protocol between centers
Apple Heart ¹⁴	Detection of atrial fibrillation by smart watch PPG	Prospective, single-group, open-label, site-less, pragmatic study	Entirely digital trial (recruitment, consent, patch monitor intervention) High-yield digital recruitment/enrollment Passive cardiac monitoring by patient-owned device	Technologic barrier; use of patient-owned devices Total dependency on participants for process and completion of study Many did not return monitor patches
mSTOPS ²⁰	Detection of atrial fibrillation by wearable ECG patch	Decentralized RCT; fully remote enrollment and participation	Insurance claims to identify high-risk patients for enrollment Digital consent Zio patches mailed to patients	Lots of dropout (many steps) ± Accuracy of claims data No formal follow-up for new diagnosis
Hauwei Heart ²³	Detection of atrial fibrillation by smart watch	Pragmatic, single-group, open-label, site-less study	Use of patient-owned technology to detect atrial fibrillation Hybrid approach with telehealth or in-person diagnostic visit if atrial fibrillation suspected Follow up treatment with MAFA app	Technologic barrier; use of patient-owned device Had to connect with centralized healthcare system (MAFA network telehealth provider or hospital) Compatible tech issue (ie, 25% of watch owners did not have compatible phone for app)
EAGLE ¹⁵	Implementation of AI-ECG to detect HF in clinical setting	Pragmatic, cluster, RCT	EHR-based intervention NLP used to improve accuracy of patient data extraction Low-cost, novel application of AI in clinical practice	Required in-person assessment to be included at single medical system Reliance on provider teams to respond to digital trial notifications
BEAGLE ²⁴	Prospective detection of atrial fibrillation by AI-ECG	Prospective batch-enrolled, patient-centered, nonrandomized cohort	Entirely site-less design from enrollment to follow-up Video-based enrollment and consent Novel investigation no of AI enhanced ECG and EHR-based NLP algorithms for previously undiagnosed atrial fibrillation in at-risk patients	EHR-based recruitment, although <50% of patients use EHR portal Potential technology limitations for patients without cameras —mediated by phone calls/mail Although NLP is more accurate than insurance claims, still not infallible
Mayo Apple Watch ²⁵	Detection of heart failure by smart watch AI-ECG	Decentralized, pragmatic, observational study	Entirely digital process Significant recruitment and active participation from enrollees App-based reminders for patient-activated data collection Streamlined patient care via centralized institution-based dashboard	Technologic barrier; use of patient-owned device Limited “diseased” cohort with valid TTE in acceptable time frame Housed within a single medical system
PALM ²⁶	Assessment of video-based informed consent versus plain text	Multicenter, observational study	Faster speed to first patient enrolled at video-consent sites More easily able to enroll older and non-	No significant difference in overall enrollment number Difficult to account for

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TABLE. Continued

Study	Study objective	Study type	Key digital trial elements	Pitfalls of digital study
			White participants to overarching PALM study	confounding, as general population demographics were unknown Site-specific observations; not patient-to-patient observation reported
HEART4U ²⁷	mHealth vs standard of care for patients with ASCVD	Prospective randomized, single-center, open-label trial	Good follow-up at 6-mo endpoint for intervention/control More frequent app used trend with lower BP	Minimal difference with ASCVD and other outcome measures mHealth application Highlights difficulty of mHealth tool impacting care beyond the interpersonal
Sleep Health Web ²⁸	Large-scale recruitment for mHealth use as well as examine sleep quality and daytime function	Prospective, single-group, open-label, site-less study	Entirely digital process for large-scale recruitment Allowed participants to use personal wearable (primarily FitBit) to track activity Web-based app rather than brand-specific	Potential variability of performance between activity trackers Internet access needed Bias from cohort demographics (93% women, mostly white)

^aAI, artificial intelligence; ASA, aspirin; ASCVD, atherosclerotic cardiovascular disease; BP, blood pressure; BEAGLE, Batch Enrollment for AI-Guided Intervention to Lower Neurologic Events in Unrecognized AF; EAGLE, ECG AI-Guided Screening for Low Ejection Fraction; ECG, electrocardiogram; EHR, electronic health record; HEART4U, name of the app utilized in the digital-health based heart health trial: Usefulness of Cardiovascular Disease (CVD) Management Solution; HF, heart failure; mHealth, mobile health app; MAFA, mobile atrial fibrillation application; mSTOPS, mHealth Screening to Prevent Strokes; NLP, natural language processing; PALM, Patient and Provider Assessment of Lipid Management; PPG, photoplethysmography; RCT, randomized controlled trial; TTE, transthoracic echocardiogram

Smartphone/Applications

As smartphones have become an indispensable device for most Americans, clinical researchers identified this resource as a powerful investigative tool. From recruitment and enrollment to intervention and data collection, smartphones with customized study-based mobile health (mHealth) applications have quickly become focal to many pragmatic digital trials (Table). Furthermore, these portable technologies have allowed clinicians and researchers a window into study subjects' daily lives.

In the groundbreaking Apple Heart study, 400,000 participants were recruited and enrolled via iPhone application in just 9 months.¹⁴ Although only a fraction of the study participants who received "irregular heart beat" notifications completed patch monitoring for atrial fibrillation (n=450), this study highlighted the promise of massively scaled studies outside the clinic walls using readily available, widely used digital technology.³¹ Many teams across the globe have implemented this similar approach, either

recruiting and enrolling patients digitally into clinical studies (eg, Huawei Heart Study) or using mHealth applications/wearable devices for data collection (HEART4U, Sleep Health Web Study).^{23,27,28} Our own team used a similar approach, recruiting patients via Mayo Clinic Patient App for an analogous smartwatch study.²⁵ More than 2400 engaged participants were digitally enrolled and transmitted over 120,000 patient-recorded Apple Watch ECGs via study application in a short time frame of 6 months.²⁵

Wearable Tech Integration With EHR

There is a gap, however, between consumer-owned products with medical applications (eg, Apple Watch and the AliveCore Kardia device) and clinician-accessible EHR data. For example, consumers must download a PDF of a manually recorded Apple Watch ECG and send a copy to their preferred medical provider via email/patient portal.³² Ideally, integrating these two data sources to allow provider review in real-time could streamline patient care.

Our recently presented Apple Watch study describes a proof-of-concept process in which real-time recorded Apple Watch data was securely and automatically transmitted via study app to an EHR-linked individualized ECG dashboard.²⁵ This dashboard was accessible to all providers within our institution and was updated with new patient-triggered ECG recordings within a matter of minutes, allowing for a near real-time review of patient-transmitted data (Figure 2).²⁵ It is our hope this proof-of-concept provides a framework for remote patient care by integrating these two valuable data sources.

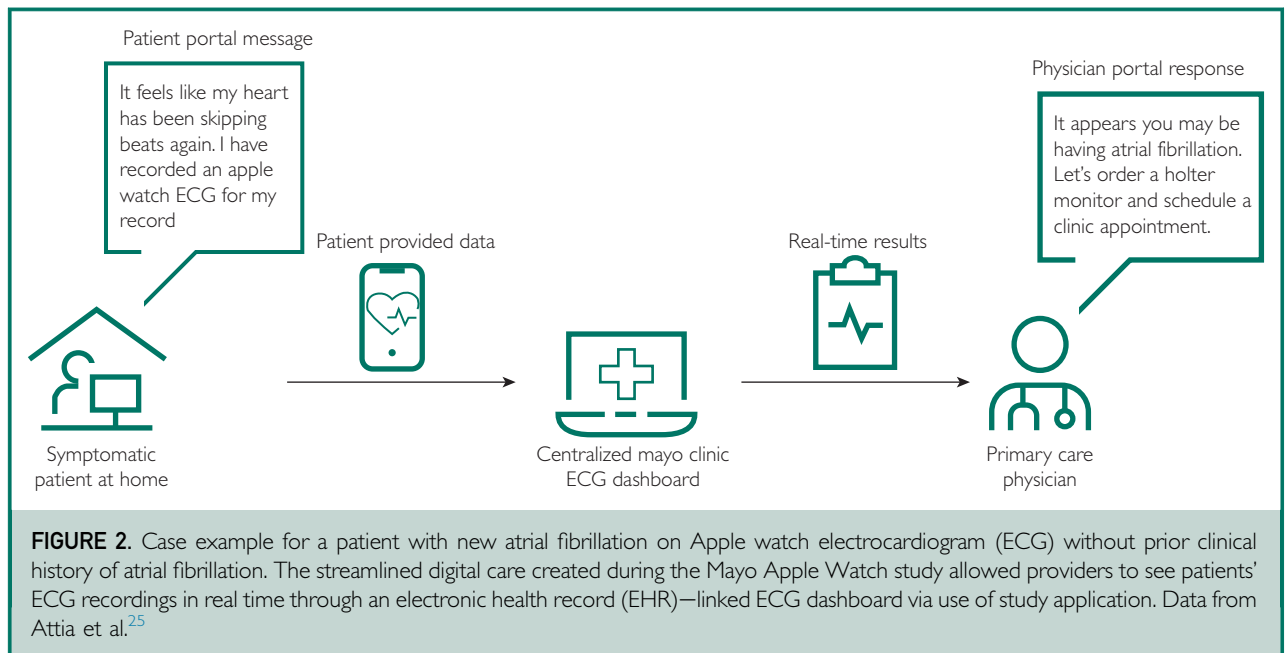
DECENTRALIZATION OF CLINICAL TRIALS

With the advances in digital and medical technology, there has been a shift toward the decentralization of medical care with increased telemedicine visits, patient-to-care team portal messaging, and even remote-robotic procedures.^{6,33} Clinical trials, frequently limited by clinical setup and geographic location, have also followed this pathway of decentralization (Table). Using the digital tools described above (eg, EHR, digitized consent, and smartphones), patients can be recruited, enrolled, and followed-up in an entirely digitized, siteless fashion. On a similar note, wearable technologies (eg, smartwatch, wearable ECG patches, and handheld ECG devices) enable both passive (eg, recording triggered by device) and active (eg, patient-triggered recording) remote data collection.^{14,23}

As these decentralized studies have become more popular, investigators have come up against barriers to implementation. For example, the Apple Heart and Huawei Heart studies identified consumers already familiar with the technology interface. Use of patient-owned devices for data collection allowed for efficient enrollment and data collection from large, geographically diverse populations.^{14,23,25,28} Many of these individuals familiar with technology were younger (implying a more technology-savvy/tech-ownership cohort), and in studies identifying atrial fibrillation, the prevalence of disease was relatively low as a result (0.52% with an irregular pulse on Apple Heart;

0.23% in Huawei).^{14,23} Some of these decentralized processes require active participation from the study subjects, placing responsibility on the patient for personal data collection. Although it can be anticipated that participation may wane over the study period, push-notifications and app-based reminders may encourage continued, active participation during the study period.^{27,34,35} In our own institution-based Apple Watch study, our study app would remind participants to record an ECG every 14 days to assist with retention.²⁵

Although there are significant benefits and investigative implications of the decentralized study process, there are notable pitfalls. First, recruiting and enrolling patients is no small effort. Automated messages and pre-recorded material alleviate the burden placed on research coordinators in a traditional in-person approach; however, response rates from eligible patients to digital invitations and completion of digital consent with appropriate enrollment can be low (sometimes <10%).^{20,34} As this digital recruitment and enrollment process is rather pragmatic, patients may also incorrectly “qualify” themselves for a study when they would have otherwise been excluded (eg, patients with a known diagnosis of atrial fibrillation participating in a study to detect first-time atrial fibrillation).¹⁴ Even when patients develop interest and appropriately complete enrollment after receiving a recruitment email or portal message, participation may decline throughout the study period or, as exemplified in the mSToPS study, patients may not complete all the necessary steps for data collection (more than one-third of patients who received the Zio patch did not wear the patch during the study period).³⁶ Finally, there are limitations regarding follow-up in these decentralized trials. Although some studies may have a centralized physician hub to complete virtual follow-up visits,^{13,14,23} others have simply informed patients of a potential diagnosis with the recommendation to see a physician thus placing the burden back on the patient who may or may not have a primary care connection.³⁴ Even when in contact with



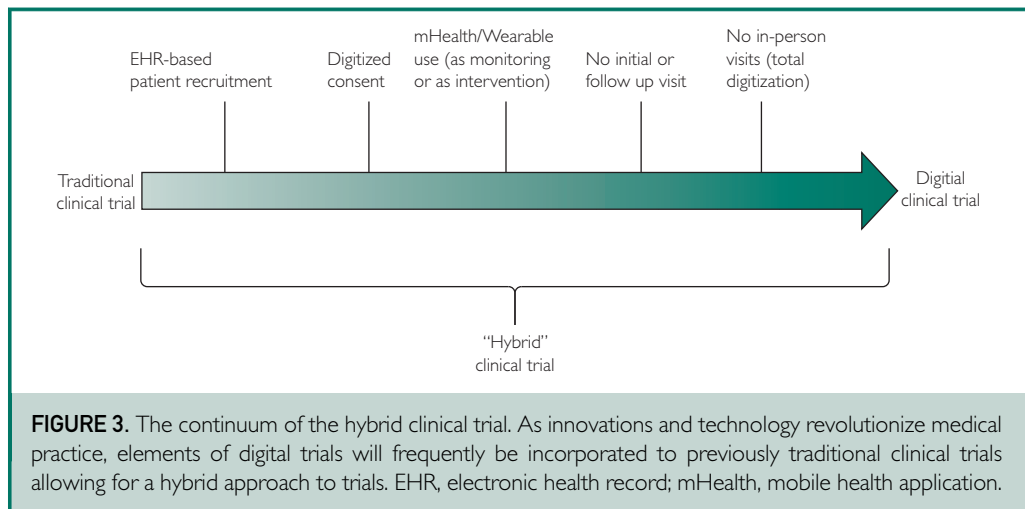
one of these virtual providers, there may be limited opportunity for novel treatment intervention beyond use of over-the-counter medication, commonly used prescriptions, or recommendations to follow-up with a local provider.^{13,34} The Huawei Heart study not only detected atrial fibrillation by wearable photoplethysmography technology successfully, but also successfully initiated anticoagulation in 80% of high-risk patients, although this intervention required some secondary in-person evaluation by an affiliated provider to confirm atrial fibrillation diagnosis.²³

In light of the growing popularity of mHealth studies, the MedISAFE-BP (Medication Adherence Improvement Support App for Engagement — Blood Pressure) study made a striking observation. The investigators noted potential contamination of results, where patients in the control, “non-app user” group downloaded the Medisafe app for personal use.³⁴ This pragmatic, real-world approach to data collection and digital technology application comes with these risks of participants making unsupervised decisions that could potentially impact and skew results.

PRAGMATISM IN THE DIGITAL “REAL-WORLD”

Pragmatic clinical trials have better-informed trial stakeholders (from patients to providers and clinical investigators) of the real-world impact and performance of specific interventions.²² Although the degree of pragmatism will vary across studies, a pragmatic design seems well poised to not only evaluate if an intervention is effective and impactful, but also if it can be widely applied and flexibly used within the real-world.^{22,37}

For example, our team has developed and used an artificial intelligence (AI) algorithm that, when applied to a 12-lead ECG, can detect heart failure with reduced ejection fraction (HFrEF).³⁸ Although this AI-ECG tool showed promising results, it was created and tested on a retrospective cohort, and the effectiveness in routine practice remained largely unknown. As a result, our group designated a randomized, controlled pragmatic clinical trial that integrated the results of this AI-ECG for the detection of HFrEF into the routine clinical practice of medical providers at partnered sites across our institution.¹⁵ Providers were notified of the result indicating an increased risk of HFrEF via email



from a recently performed but routinely ordered ECG.¹⁵ This study revealed the actual usefulness of this technology, with intervention-group providers successfully identifying HFrEF more frequently than their control-group counterparts.¹⁵

Although this pragmatic RCT is a single example of a digital tool enhancing clinical care, there have been many digital trials that highlight pragmatism as a key value of the study.^{13,14,23,25,28} In many cases, pragmatic trial design is essential for understanding the clinical impact. Some digital interventions may hold great promise but do not show significant impact with first-time, real-world application among broad populations.²⁷ Given the flexibility of pragmatic trials, results from these unexpected events can inform trialists on how to lead re-application efforts of digital tech via different methodologies to improve intervention delivery, participant adherence, and primary outcomes.^{14,22,27} It is also worth understanding that fully digital, decentralized observational trials and RCTs will have inherent elements of pragmatism as many of these digital tools are designed for use in daily life (eg, mHealth, wearable ECG, and smartphone application).

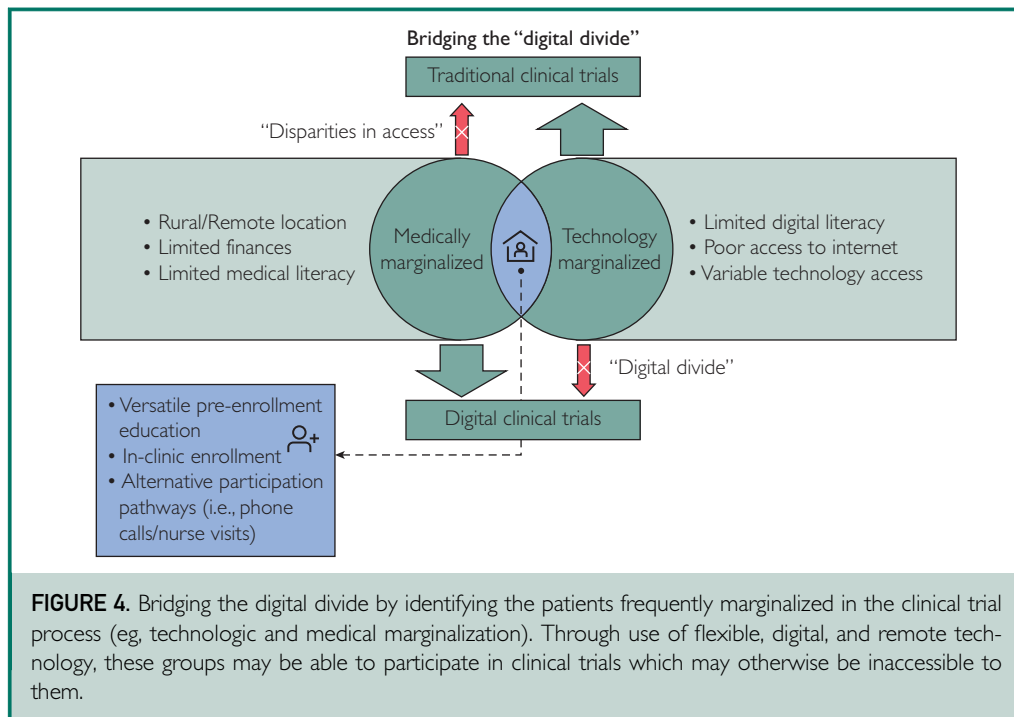
THE HYBRID APPROACH

From this review, it is clear there are many tools enabling the digitization and

decentralization of clinical trials. Although one may think of these trials and methodology as one or the other, the hybrid approach to clinical trials, incorporating elements of both these trial techniques, is common, and is likely more present than originally imagined. It would be most beneficial to imagine a continuum between fully traditional and fully digital clinical trials with steps of EHR use, digital consent, mHealth/wearable technology, and no requirement of in-person visits as steps between the two (Figure 3). From our examples in this review, ADAPT-ABLE, Huawei Heart, Apple Heart, PALM, and Mayo's AI-ECG for HFrEF in the community all incorporated elements of both traditional clinical trials (ie, an in-person assessment or in-person enrollment) and digital trials (ie, digital consent and mHealth use) (Figure 3).^{14,15,19,23,26} As technology in the medical field continues to advance, fully digital trials, as well as hybrid digital/traditional trials will be more commonplace.

LIMITATIONS OF THE TECHNOLOGY

Although the availability of technology continues to improve, the digital divide persists, particularly in the underrepresentation of minorities and rural residents in these digital studies (Figure 4) (Supplemental Table, available online at <http://www.mayoclinicproceedings.org>).¹⁰ There is evidence that varying forms of educational material for



the consent process, such as tablet-based video modules, have allowed better inclusion of individuals typically marginalized by the digital divide.²⁶ It has also been offered that the total cost of study participation and the interventions used in digital/decentralized trials come at a fraction of the cost both to the researchers and the participants (eg, frequent use of patient's own technology, no travel to centralized trial site, etc). However, it must be considered that the technology itself (eg, digital tablet, wearable ECG devices, and wireless Internet cost) may remain unattainable or unaffordable to the medically underserved/underrepresented (Figure 4).^{10,39}

As previously mentioned, the types of interventions available also have their own set of limitations in digital trials (Supplemental Table). Although telemedicine visits may be coordinated and patients may be followed closely, medical intervention outside of over-the-counter medication or minimal-low risk prescriptions will frequently need some in-person diagnostic testing or physical assessment.^{13,23,40} However, with the significant increase in telemedicine care and medical,

technological advancement over the past few years, there will be a continued shift toward entirely digital/virtual clinical visits and enrollment in clinical drug trials or other interventions previously requiring in-person evaluation.⁴¹

CONCLUSION

The digitization and pragmatic decentralization of clinical trials is a significant growing frontier in medicine. Using digital technology in various aspects of a clinical study, investigators can rapidly complete vital research with decreased cost and high participation. Further, using this technology to move trials entirely outside the clinic walls enables pragmatic study participation and provides impactful real-world data for investigators. While touting the decreased cost and high participation in such studies, we must take care to promote inclusion of those who are marginalized by the digital divide allowing flexibility in study design.

POTENTIAL COMPETING INTERESTS

Dr Harmon has received support from the NIH StARR Resident Investigator Award

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SUPPLEMENTAL ONLINE MATERIAL

Supplemental material can be found online at <http://www.mayoclinicproceedings.org>. Supplemental material attached to journal articles has not been edited, and the authors take responsibility for the accuracy of all data.

Abbreviations and Acronyms: AI, artificial intelligence; ECG, electrocardiogram; EHR, electronic health record; HFREF, heart failure with reduced ejection fraction; mHealth, mobile health; RCT, randomized controlled trial

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