The Validity of Self-reported Dietary Intake Data: Focus on the “What We Eat In America” Component of the National Health and Nutrition Examination Survey Research Initiative

The article by Archer et al1 in the current issue of Mayo Clinic Proceedings questions the validity and value of memory-based dietary assessment tools when investigating the relationship between dietary intake and health outcomes. In doing so, the authors cast doubt on the evidence base used by the US government’s 2015 Dietary Guidelines Advisory Committee (DGAC) and its actions to improve public health.2 Archer et al1 advocate that dietary recall cannot be used to establish relationships between dietary intake and health. They suggest Popper’s criteria3 for scientific inquiry—independently observable, measurable, falsifiable, valid, and reliable—as the standard by which to judge recall-based assessments. In this editorial, we provide empirical examples that suggest that, by Popper’s criteria, recall measures can be scientifically sound. We also highlight a different perspective that values multiple forms of evidence to determine the scientific appropriateness of measurement instruments, including predictive validity, sensitivity to change, feasibility, and actionability,4 as well as the goal and design of a given study.

Attempting to develop recommendations to improve health is a complex enterprise because of the interactive nature of genetics, environmental factors, and individual behavior; however, one thing is clear—behaviors matter.5 Tobacco use, physical inactivity, excessive alcohol consumption, and a poor diet are the leading preventable causes of death in the United States.5 The body of research that contributed to these findings includes a variety of scientific approaches that range from retrospective and prospective epidemiological studies to randomized controlled trials. One consistency across scientific inquiry and behavioral domains is that participant recall has been used as a representation of behavior, by Archer et al1 and others (for examples, see references 7 through 10).

Rather than being a “well-kept open secret,”1 the limitations of self-reported dietary and physical activity assessment methods are well recognized and acknowledged by those utilizing these methods.7-10 However, the predictive validity of dietary recall instruments has been repeatedly documented using dose-response and other predictive methods11,12 Still, as with many types of scientific and clinical measurements such as blood pressure measurement,13 the accuracy of dietary recalls can be substantially improved by using validated protocols (eg, multiple 24-hour recalls obtained using the Automated Multiple-Pass Method14 and well-trained research personnel.13,14 The Automated Multiple-Pass Method for collecting dietary recalls has been validated, using the criterion standard doubly labeled water technique as a biomarker of total energy expenditure (and thus, energy intake, if body weight is stable during the measurement period).15 Using this approach, the overall underreporting of energy intake was 11% when compared with total energy expenditure determined by doubly labeled water. In normal-weight individuals, the degree of underreporting was less than 3%, and a
A higher degree of underreporting was noted among overweight and obese individuals.

Archer et al chose to frame the argument of independent observability, measurability, reliability, and falsification within the context of memory rather than the behaviors that those memories are intended to reflect. Our perspective is that the behavioral target—dietary intake—can be measured reliably over time. Further, these behaviors can also be observed independently, and those recalled accounts can be falsified (ie, experimentally tested and proven false [or confirmed]). Thus, we disagree that the What We Eat In America (WWEIA)/National Health and Nutrition Examination Survey (NHANES) dietary recall data represent a “highly edited anecdote” of what was consumed; instead, we believe that they reflect a reasonable representation of usual dietary intake.

Archer et al imply that there are superior alternatives to assess dietary intake to derive population prevalence estimates and more accurate accounts of what Americans eat every day. However, there is no error-free, practical, and affordable method to capture the broad dietary pattern information that is currently acquired using WWEIA/NHANES. Self-reported dietary assessment methods are a useful and appropriate measurement technique for many types of studies including assessments of population prevalence and epidemiological studies to identify potential health risks associated with a range of foods and eating patterns. In addition, dietary pattern data provide actionable information for intervention that is not available from methods that simply provide information on total energy or nutrient intake.

In some circumstances, depending on factors such as the study’s question, design, setting, resources, target population, and participant burden, recall measures can be appropriate. For example, a small, tightly controlled efficacy trial investigating the influence of prebiotic supplementation on cardiometabolic health may warrant different types of assessments than would a large, multicity trial investigating comprehensive interventions to reduce coronary heart disease risk. In the latter case, a validated dietary recall instrument could be considered, and so too could an extensive panel of dietary biomarkers (ie, objective indicators of dietary intake) requiring biological sample collection at multiple time points. Dietary biomarker assessment would be costly (and likely exceed the budget limitations of a federal grant), burdensome for study participants, and less feasible for research teams to implement in field/community settings. Furthermore, the biomarkers could provide information on specific dietary factors (eg, energy intake [doubly labeled water], protein intake [urinary nitrogen excretion], or fruit/vegetable intake [blood carotenoid concentrations]) but not specific foods consumed (eg, carrots, cantaloupe) or overall diet quality. The sources of nutrients (ie, foods vs dietary supplements) would not be known, unless individuals were asked to recall this information. Biomarker results may also vary depending on physiologic states (eg, fasted vs fed) and whether the biomarker reflected long-term vs short-term dietary intake. Objective tools also suffer from measurement error, and the limitations of contemporary dietary biomarker techniques have been discussed in the scientific literature.

Hébert et al provided an excellent overview of key advances in dietary assessment methods, suggestions for further improvement, and detailed counterpoints that address many of the issues raised by Archer et al. Adding to these suggestions for improvement, research investigating a greater variety of validated biomarkers for both foods and nutrients, the development of low-cost and minimally invasive dietary biomarkers, study designs that utilize dietary biomarkers to support self-reported dietary intake data, and novel technologies to assess and monitor dietary intake could greatly advance nutrition and obesity research.

The commentary by Archer et al asserts that the use of memory-based assessment methods by NHANES and others “constitutes the single greatest impediment to actual scientific progress in the fields of obesity and nutrition research.” We suggest that progress in the fields of obesity and nutrition research will be made through interdisciplinary research utilizing a combination of research approaches. Consistent with this view, the DGAC used a variety of types of scientific evidence, including state-of-the-art systematic reviews, meta-analyses, individual reports, and NHANES data analyses. Satija et al reported 3 excellent examples of how various types of nutrition research were combined to create an evidence base that can then be used by groups such as the DGAC to inform public policy.
As stated by the 2015 DGAC, “repeated 24-hour recalls remain the backbone of dietary assessment and monitoring.” The WVEIA/NHANES dietary data represent the only comprehensive source of information on the food and nutrient intake of the US population—the survey includes a nationally representative sample of US residents, and dietary intake data are collected using standardized, validated protocols. These and other sources of broad population assessments of dietary intake and physical activity are the foundation of many seminal articles and research findings that have linked dietary intake and physical activity as key determinants of health. To argue that these data represent a waste of resources, while concurrently citing scientific findings that those same data collection methods were used to document the importance of diet and activity in health, is scientific doublespeak—and an impediment to scientific progress in obesity and nutrition research.

Brenda M. Davy, PhD, RD
Department of Human Nutrition, Foods and Exercise
Virginia Polytechnic Institute and State University
Blacksburg, VA

Paul A. Estabrooks, PhD
Department of Human Nutrition, Foods and Exercise
Virginia Polytechnic Institute and State University
Blacksburg, VA

Department of Family and Community Medicine
Carilion Clinic
Roanoke, VA

Grant Support: This work was supported in part by National Institutes of Health grants R21 HD078636 (B.M.D.) and R24 MD008005 (P.A.E.) and funding from the Carilion Clinic (P.A.E.).

Correspondence: Address to Brenda M. Davy, PhD, RD, Department of Human Nutrition, Foods and Exercise, Virginia Polytechnic Institute and State University, 221 Wallace Hall, Blacksburg, VA 24061 (bdavy@vt.edu).

REFERENCES